

PFM MDTF - Indonesia
Strengthening Internal Audit Project

GRANT No. TF0A3211

Procurement Plan

Version No.: 06

Date: July 17, 2018

I. General Information

1. Project information:

- a. Country: Indonesia
- b. Recipient: The Government of Indonesia
- c. Project Name: Strengthening Internal Audit Project
- d. Project Implementing Agency (PIA): The Government Internal Audit Association (AAIPI) Secretariat

2. Bank's No Objection Date of the procurement Plan:

- a. Version 1: September 14 2016
- b. Version 2: October 5, 2016
- c. Version 3: May 19, 2017
- d. Version 4: June 21, 2017
- e. Version 5: February 19, 2018
- f. Version 5: July 17, 2018

3. Date of General Procurement Notice: October 5, 2016]

4. Period covered by this procurement plan: The procurement plan covers the activities incurred during extension period of the Project (from March 2018 to February 2019)

II. Types of Contract, Bank's Review Requirements and Applied Methods

A. Goods

1. **Prior Review Threshold:** Procurement Decisions subject to Prior Review by the Bank as stated in Appendix 1 to the Procurement Guidelines below:

Ref. No.	Procurement Method	Procurement Method Threshold	Bank's Prior Review Requirement
1.	Shopping (Goods)	< \$ 100,000	No prior review (only post review)

2.	Direct Contracting (Goods)	No procurement method threshold. Subject to justification for Direct Contracting as per para. 3.6 of the Procurement Guidelines	<p>Justification for proposed use of Direct Contracting method in any contract, regardless of value, shall be sent for the Bank's technical review as part of the Procurement Plan and before initiating the procurement process.</p> <p>Thereafter, each contract \geq \$4 million equivalent shall be subject to Bank's prior review. All other contracts subject to post review.</p>
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2. **Pre-qualification:** Not applicable.
3. **Proposed Procedures for CDD Components (as per paragraph. 3.17 of the Guidelines:** Not applicable
4. **Reference to (if any) Project Operational/Procurement Manual:** AAIFI may use its own procurement manual and standard procurement forms provided that they are consistent with the Bank's Guidelines.
5. **Any Other Special Procurement Arrangements:** Retroactive financing is not applied for selected contract, if any, as specified under para. III.a. below. Other alternative procurement arrangements may be discussed and agree with the Bank after signing of the Grant Agreement.

B. Selection of Consultants

1. **Prior Review Threshold:** Selection decisions subject to prior review by Bank as stated in Appendix 1 to the Guidelines for Selection and Employment of Consultants:

Ref. No.	Selection Method	Selection Method Threshold	Bank's Prior Review Requirement
1.	CQS (Firms)	< \$ 300,000	<p>Draft TOR and Cost Estimate of all contracts, regardless of value, shall be sent for the Bank's technical review before inviting proposals from the highest ranked consultant (selected firm) who submit expression of interest.</p> <p>Thereafter, all contracts subject to post review. (No prior review)</p>
2.	Single Source Selection of Firms	<p>No selection method threshold.</p> <p>Subject to justification for Single Source Selection of firms as per para. 3.9 and 3.10 of the Consultant Guidelines.</p>	<p>Draft TOR and Cost Estimate together with Justification for proposed use of single source selection method in any contract, regardless of value, shall be sent for the Bank's technical review as part of the Procurement Plan and before initiating the selection process.</p> <p>Thereafter, each contract \geq \$2 million equivalent shall be subject to Bank's prior review. All other contracts subject to post review.</p>

3.	Competitive Selection of Individual Consultants (comparison of at least 3 CVs)	No Selection Method Threshold.	<p>Draft TOR and Cost Estimate of all contracts, regardless of value, shall be sent for the Bank's technical review before initiating the selection process.</p> <p>Thereafter, each contract \geq\$400,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.</p>
4.	Single Source Selection of Individual Consultants	<p>No Selection Method Threshold.</p> <p>Subject to justification for single source of individuals as per para. 5.4 of the Consultant Guidelines</p>	<p>Draft TOR and Cost Estimate together with Justification for proposed use of single source selection method in any contract, regardless of value, shall be sent for the Bank's technical review as part of the Procurement Plan and before initiating the selection process.</p> <p>Thereafter, each contract \geq \$400,000 equivalent shall be subject to Bank's prior review. All other contracts subject to post review.</p>

2. **Short list comprising entirely of national consultants:** Short list of consultants for services (firms), estimated to cost less than \$ 400,000 equivalent per contract, may comprise entirely of national consultants in accordance with the provisions of paragraph 2.7 of the Consultant Guidelines.
3. **Any Other Special Selection Arrangements:** Retroactive financing is not applied for selected contract, if any, as specified under para. III.b. below.

III. Summary and Schedule of Procurement Packages and Consultancy Assignments

a. Goods and Non-Consulting Services

Ref. No.	Contract Type and Description	Estimated Cost (USD)	Procurement Method/Type	Review by Bank (Prior / Post)	RFQ /Invitation Published	Expected Award of Contract [dd/mm/yy]	Expected Completion of Contract [dd/mm/yy]	Remarks

b. Consulting Services

Ref. No.	Contract Type and Description of Assignment	Estimated Cost (USD)	Selection Method*	Review by Bank (Prior / Post)	REOI/ TOR Issued	Expected Award of Contract [dd/mm/yy]	Expected Completion of Contract [dd/mm/yy]	Remarks
	Phase 1 (Completed)							
1	Technical Advisory Assistance on SPAN Continuous Audit Capacity Development	265.000	CQS	Post	Oct 2016	February 2017	June 2017	PRJ-007/AAIPI/DPN/2017, date February 8, 2017, Lump-sum Contract Consultant's Name: PT Ernst & Young Indonesia
2	Development of Internal Audit Online Learning Modules	99.350	CQS	Post	July 2017	August 2017	Dec 2017	PRJ-002/AAIPI/DirEks/2017 date October 13, 2017, Lump-sum Contract Consultant's Name: PT Lutan Edukasi
3	TA on Audit Universe and Risk Based Audit Planning	70.000	CQS	Post	July 2017	July 2017	Oct 2017	PRJ-003/AAIPI/DirEks/2017 date November 3, 2017, Lump-sum Contract Consultant's Name: PT Bina Audita Indonesia

Ref. No.	Contract Type and Description of Assignment	Estimated Cost (USD)	Selection Method*	Review by Bank (Prior / Post)	REOI/ TOR Issued	Expected Award of Contract [dd/mm/yy]	Expected Completion of Contract [dd/mm/yy]	Remarks
4	Consultancy Services for Visioning and Strategic Planning	60.000	CQS	Post	July 2017	July 2017	Nov 2017	PRJ-001/AAIPI/DirEks/2017 date September 8, 2017, Lump-sum Contract Consultant's Name: PT Sinergi Pakarya Sejahtera
5	Development of Performance Audit Guidelines	32.800	CQS	Post	July 2018	August 2018	Oct 2018	Lump-sum Contract
6	Training of Trainers of Internal Audit Capability Model (IA-CM) Assessor	55.700	Single-Source Selection	Post	July 2018	August 2018	Dec 2018	Lump-sum Contract, maybe contract combined with Ref. No. 7
7	Training of Trainers of Quality Assurance Improvement Program (QAIP)	55.700	Single-Source Selection	Post	July 2018	August 2018	Dec 2018	Lump-sum Contract, maybe contract combined with Ref. No. 6
8	Implementation Support on SPIP and IA-CM at Local Government Level	60.000	CQS	Post	August 2018	August 2018	Dec 2018	Lump-sum Contract
9	SPIP-based Internal Control Workshop	70.000	CQS	Post	August 2018	Sept 2018	Jan 2019	Lump-sum Contract
10	Independent validation of BPKP IA-CM Self-Assesment	70.000	Individual Consultant - Single-Source Selection	Post	August 2018	Sept 2018	Oct 2018	Lump-sum Contract
11	RETF annual audit	10.000	CQS	Post	May 2018	June 2018	July 2018	Lump-sum Contract
12	Development of AAIPI Website *)	19.800	CQS	Post	August 2018	Sept 2018	Dec 2018	Lump-sum Contract
13	Development AAIPI Membership Database *)	31.000	CQS	Post	August 2018	Sept 2018	Dec 2018	Lump-sum Contract

*) Waiting ToR from Ministry of Finance Republic of Indonesia